

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Phelps *et al.*
SERIAL NO.: 09/974,722 GROUP NO.: 1711
FILING DATE: October 9, 2001 EXAMINER: Not Yet Assigned
TITLE: ORGANO-TITANATE CATALYSTS FOR PREPARING PURE
MACROCYCLIC OLIGOESTERS

TECHNOLOGY CENTER 1700

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CERTIFICATE OF HAND DELIVERY

I hereby certify that the following correspondence is being hand delivered to U.S. Patent and Trademark Office, Washington D.C. 20231 on this 21 day of Feb, 2003.

BARBARA J. HENDLEY
Print Name:

Commissioner for Patents
Washington, D.C. 20231

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.);
2. Fee Transmittal Form (1 pg.);
3. Check in the amount of \$180.00;
4. Third Supplemental Information Disclosure Statement (2 pgs.);
5. Form PTO-1449 (1 pg.), with references (A88, A89, A90, C23);
6. This Certificate of Hand Delivery dated _____, 2003; and
7. Mailroom postcard.

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FEE TRANSMITTAL FY 2003

Complete if Known

Application Serial Number	09/974,722
Filing Date	October 9, 2001
First Named Inventor	Phelps
Group Art Unit	1711
Examiner Name	Boykin
Attorney Docket No.	CYC-046

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METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below for this submission to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.
3. ☐ Applicant claims small entity status.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
750	Utility filing fee	
330	Design filing fee	
160	Provisional filing fee	

Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = x \$ 18.00 =

Independent Claims - 3 = x \$ 84.00 =

☐ Multiple Dependent Claim(s), if any \$280.00 =

TOTAL:

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$)

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
Total	-	=	x \$ 18.00 =	
Indep.	-	=	x \$ 84.00 =	
<input type="checkbox"/> First Presentation of Multiple Dep. Claim			+ \$280.00 =	

TOTAL: (\$)

SMALL ENTITY DISCOUNT: (\$)

SUBTOTAL (2) (\$)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
130	65	Surcharge - late filing fee or oath
50	25	Surcharge - late provisional filing fee or cover sheet
130	130	Non-English specification
2,520	2,520	Request for ex parte reexamination
110	55	Extension for reply within first month
410	205	Extension for reply within second month
930	465	Extension for reply within third month
1450	725	Extension for reply within fourth month
1970	985	Extension for reply within fifth month
320	160	Notice of Appeal
320	160	Filing a brief in support of an appeal
280	140	Request for oral hearing
130	130	Petitions to the Commissioner
180	180	Submission of Information Disclosure Statement
750	375	Filing a submission after final rejection (37 CFR 1.129(a))
750	375	For each additional invention to be examined (37 CFR 1.129(b))
100	100	Certificate of Correction for applicant's error

Other fee (Specify)

Other fee (Specify)

180.00

SUBTOTAL (3) (\$ 180.00)

SUBTOTAL (1)

SUBTOTAL (2)

SUBTOTAL (3) 180.00

TOTAL (\$ 180.00)

CORRESPONDENCE ADDRESS

Direct all correspondence to:
 Patent Administrator
 Testa, Hurwitz & Thibault, LLP
 High Street Tower-125 High Street
 Boston, MA 02110
 Tel. No.: (617) 248-7000
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SIGNATURE BLOCK

Respectfully submitted,
 Date: 2/20/03
 Reg. No. 44,372
 Tel. No.: (617) 248-7369
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Yin P. Zhang, Ph.D.
 Attorney for Applicant
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TRANSMITTAL FORM

Application Serial Number	09/974,722
Filing Date	October 9, 2001
First Named Inventor	Phelps
Group Art Unit	1711
Examiner Name	Boykin
Attorney Docket No.	CYC-046
Patent No.	Not applicable
Issue Date	Not applicable

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ENCLOSURES (check all that apply)

- | | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form

<input type="checkbox"/> Amendment/Response
<input type="checkbox"/> Preliminary
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]

<input type="checkbox"/> Petition for Extension of Time

<input checked="" type="checkbox"/> Third Supplemental Information Disclosure Statement
<input checked="" type="checkbox"/> Form PTO-1449
<input checked="" type="checkbox"/> Copies of IDS Citations (A88, A89, A90, C23)

<input type="checkbox"/> Certified Copy of Priority Document(s)

<input type="checkbox"/> Sequence Listing submission
<input type="checkbox"/> Paper Copy/CD
<input type="checkbox"/> Computer Readable Copy
<input type="checkbox"/> Statement verifying identity of above | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)

<input type="checkbox"/> Formal Drawing(s)

<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal

<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)

<input type="checkbox"/> Terminal Disclaimer

<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application

<input type="checkbox"/> Small Entity Statement

<input type="checkbox"/> CD(s) for large table or computer program

<input type="checkbox"/> Amendment After Allowance

<input type="checkbox"/> Request for Certificate of Correction
<input type="checkbox"/> Certificate of Correction (in duplicate) | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences

<input type="checkbox"/> Appeal Brief (in triplicate)

<input type="checkbox"/> Status Inquiry

<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Certificate of Hand Delivery under 37 C.F.R. 1.8 (1 page)
<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8

<input type="checkbox"/> Additional Enclosure(s) (please identify below) |
|--|--|--|

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Respectfully submitted,

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